

Quarterly Financial Review of the Securities Industry

Intermediaries Supervision Department¹

November 2006

Summary

Overview of the Securities Industry in the Third Quarter of 2006

Table 1 – Statistical Information and Financial Position of the Hong Kong Securities Industry¹		
As at	30/09/2006	30/06/2006
Balance Sheet (HK\$ mn)		
Total assets (+9%)	590,999	541,424
Total liabilities and shareholders' fund	590,999	541,424
	3 months to 30/09/2006	3 months to 30/06/2006
Profit and loss (HK\$ mn)		
Net profit for the period (-46%)	3,583	6,610



Table 2 – Financial Performance of SEHK Participants for the Third Quarter of 2006 – Breakdown by Different Categories (HK\$ mn)				