Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Vered Investment Fund OFC (the "OFC") (BRS525) - Vered Equity Stable Return Fund (BRS526) - Vered Global Fixed Income Premium Fund (BRS527) - Vered Multi Strategy Fund (BRS528) (collectively, the "Sub-funds")	22 February 2023	25 October 2021 to 31 December 2022	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. (2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code.	The exemption and waiver are granted on the following conditions: For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor.	
Pacific Hawk Global Fund OFC (the "OFC") (BOR317)	3 March 2023	From 1 January 2021 until the OFC no longer meets			

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
(BRR273) (the "Sub-fund")			the report free of charge to any shareholder under rule 151 of the OFC Rules. (2) Waived the requirement to show the respective financial positions and results of the OFC and those of the Sub-fund of the OFC in the financial report under 9.6 of the OFC Code.	been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			shareholder under rule 151 of the OFC Rules. (2) Waived the requirement to show the respective financial positions and results of the OFC and those of the Sub-fund of the OFC in the financial report under 9.6 of the OFC Code.		
CAM Capital OFC (the "OFC") (BRZ059) - CAM Capital Growth Sub-Fund 1 (BRZ060) - CAM Capital Growth Sub-Fund 2 (BRZ061) - CAM Capital Growth Sub-Fund 3 (BRZ062) (collectively, the "Sub-funds")	29 March 2023	5 January 2022 to 31 December 2022	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. (2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code.	The exemption and waiver are granted on the following Td 6B (n)]T2n EMg7 (Fn]TJ 2.78:.7 (on a893 0	

Name of the OFC or sub-fund

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
					December 2023 lapsed on 7 June 2023.
LF Multi Strategy OFC (the "OFC") (BRV825) - LFX Property Development Fund (BRV827) - LF Emerging Industries Fund (BRV828) (collectively, the "Sub-funds")	23 May 2023	26 November 2021 to 31 December 2022	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. (2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code.	The exemption and waiver are granted on the following conditions: For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor.	
Rui Da International Open-ended Fund Company (the "OFC") (BRV713) - Rui Da International No.5 (BRV714) - Rui Da International No.6 (BRV715) (collectively, the "Sub-funds"/MCID <</M224) (BRV7B6c -0.004 Tw 0 -1.147 Td [(f)2 19 0 Td (083.3 ()-11 (he)u130 102 >>BDCTw -0.002 Tw 0.84 0 Td [(R)6 (u56--.7 (F)1.)10.6 (el)-0.6 C)6 (om)10.3 (pan)13.4					

Name of the OFC or sub-

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Hectoron Supply Chain Finance Credit OFC (the "OFC") (BSR899)	31 January 2024	18 July 2022 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Victory Privilege Fund OFC (the "OFC") (BRT100) - Winner Global Fund (BRT101) - Victory Greater China Growth Fund (BRT102) - Victory Bond Connect Fund (BRT103) - Victory EMC BTC Cycle Fund (BRT104) - Victory Money Market Fund (BRT105)	6 March 2024	1 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
QX Asset Management OFC (the "OFC") (BTT150) - QX Global Quantitative Managed Futures Fund (BTT151)	6 March 2024	31 May 2023 to 31 Decemq23 (1)]TJ ET17-2.3 (1)9.3 (5)-4 (0)-2 Tw 9 -0 01e emq23 (1)]TJ ET172117 >>BDC 9 -0 0 9 77 185.52 Tm (-)Tj2e W n I			

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Vered Investment Fund OFC (the "OFC") (BRS525) - Vered Equity Stable Return Fund (BRS526) - Vered Global Fixed Income Premium Fund (BRS527) - Vered Multi Strategy Fund (BRS528)	26 March 2024	1 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Sino Wealth Global OFC (the "OFC") (BUB933) - Sino Wealth Global Real Estate Fund (BUB934) - Sino Wealth Global Fixed Income Fund (BUB935) - Sino Wealth Global Securities Fund (BUB936) - Sino Wealth Global Fintech Fund (BUB937) - Sino Wealth Global Cash Management Fund (BUB938) - Sino Wealth Global Alternative Investment Fund (BUB939)	28 March 2024	14 September 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
CAM Capital OFC (the "OFC") (BRZ059) - CAM Capital Growth Sub-Fund 1 (BRZ060) - CAM Capital Growth Sub-Fund 2 (BRZ061)	23 April 2024	1 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
- CAM Capital Growth Sub-Fund 3 (BRZ062)			shareholder under rule 151 of the OFC Rules.		
JQ Multi-Strategy Open-ended Fund Company (the "OFC") (BTK916) - JQ Opportunity I Fund (BTK917) - JQ Opportunity II Fund (BTK918) - JQ Opportunity III Fund (BTK919)	23 April 2024	24 February 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Titan OFC (the "OFC") (BSC865) - Titan Global Macro Enhanced Strategy (BSC866) - Titan Global Flexible Fund (BSC867)	24 April 2024	8 February 2022 to 31 December 2022; and 1 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Brightus OFC (the "OFC") (BTE770) - Brightus Growth Fund I (BTE771) - Brightus Growth Fund II (BTE772) - Brightus Venture Fund I (BTE773) - Brightus Venture Fund II (BTE774)					

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
ASM Fund OFC (the "OFC") (BRY682) - ASM Global Opportunity Fund I (BRY683)	26 April 2024	1 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Guoyuan International Investment Series OFC (the "OFC") (BSM511) - Guoyuan China Economy Transition Fund (BSM512) - Guoyuan China SOE Fixed Income Fund (BSM513) - Guoyuan Flexible Allocation Fund (BSM514)	26 April 2024	1 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rule 50 0 0 0( )-		

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
- Redbridge Capital Global Opportunities 1 (BTC932) - Redbridge Capital Global Opportunities 2 (BTC933) - Redbridge Capital Global Opportunities 3 (BUC376) - Redbridge Capital Global Opportunities 4 (BUC377)			OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
White River Capital Fund I OFC (the "OFC") (BTM327) - White River Capital Securities Fund I (BTM328) - White River Capital Foods Fund I (BTM329) - White River Capital Global Fund I (BTM330) - White River Capital Asia Fund I (BTM 331)	17 May 2024	22 February 2023 to 31 December 2023	(1) Waiver from strict compliance with the requirements to publish and file to the Commission the annual report of the OFC for the relevant financial period under 9.8 and 9.9 of the OFC Code.	The waiver is granted on the following condition: The OFC shall publish and file to the Commission the annual report for the relevant financial period on or before 30 June 2024.	
Guosen Global Markets Investment Open-ended Fund Company (the "OFC") (BSZ351) - China Balanced Multi-Strategy Fund of Funds (BSZ352) - Capital Stable Income Fund (BSZile t<</MCID 127 >>BDC q 693.24194.4 111.996 0.4.76 re 96.76 0.004 Tc -0.002 Tw 9 -0 0 9 77.44 175.2 Tm [(1)Tj8.7 (uos)-2.7 0 T<</MCIQ1.996 0.4.76 re 96.76 0.004 T					

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			the report free of charge to any shareholder under rule 151 of the OFC Rules.	not been launched; and (2) had no investor.	
Austen Sustainable Equity OFC (the "OFC") (BTA318)	27 June 2024	18 October 2022 to 31 March 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Austen Global Income OFC (the "OFC") (BTO657) - Austen US Income Fund (BTO658)	27 June 2024	30 March 2023 to 30 June 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Innovax Capital OFC (the "OFC") (BSR554) - Innovax Capital Investment Growth Fund (BSR555)	10 July 2024	13 July 2022 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Fortune New Horizon Open-ended Fund Company (the "OFC") (BTT064) - Fortune Innovation Sub-fund (BTT065) - Fortune Vista Sub-fund (BTT066) - Fortune Luminance Sub-fund (BTT067)	12 August 2024	31 May 2023 to 31 March 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
P.B. Capital OFC (the "OFC") (BSQ305) - P.B. Capital OF1 (BSQ306)	25 October 2024	28 June 2022 to 31 December 2022; and 1 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Anbo Euto Sigma Vision Fund OFC (the "OFC") (BTP007) - Anbo Euto Sigma Opportunity Fund 1 (BTP008) Nund O (C)6 ("6.6 (t)15f f) 2 (e O)8.6 (F)1.7F	7 November 2024				

Name of the QFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	[redacted]