

**DECLARATION ON COOPERATION AND
SUPERVISION OF CROSS-BORDER INVESTMENT
MANAGEMENT ACTIVITY**

The Hong Kong Securities and Futures Commission, an independent agency established pursuant to the Securities and Futures Commission Ordinance of 1989 and the Central Bank of Ireland

independent body which is accountable to the Oireachtas and established under the Central Bank Acts 1942-1997,

Sharing the goal of promoting investor protection:

Observing the growth of global cross-border investment management activity between Hong Kong and Ireland; and

Believing that in light of these developments it is appropriate

(d) "Dual Registrants" means Investment Management Persons that are authorised by, registered with or licensed by both Authorities or who are authorised by or registered with one Authority and manage or advise funds authorised by the other Authority;

(e) "Inspection Report" means a report submitted to the

Authority, summarising the results of a review or examination of an Investment Management Person;

(f) "Host Authority" means the Authority in whose jurisdiction an Investment Management Person is located;

2.4 To facilitate cooperation under this Declaration, the Authorities

[REDACTED]

3. EXCHANGE OF INFORMATION

- 3.1 The Authorities intend to provide to each other and update on a regular basis a list identifying the names of Dual Registrants.
- 3.2 Each authority intends to notify the other of the commencement of an investigation, or other regulatory action involving or affecting a Dual Registrant.
- 3.3 The Authorities intend to provide to each other, on a routine basis and without advance request, copies of Inspection Reports of Dual Registrants.
- 3.4 Each Authority intends to provide the other, upon request and consistent with the laws and regulatory requirements which govern it, assistance in obtaining information from its jurisdiction.

assisting the other Authority (the Receiving Authority) in the performance of its regulatory functions. Any assistance or information so provided should only be used by the Receiving Authority for such purpose and should not be disclosed to any other person.