

C

Y 1 Ñ Ò ã ³ W i Ω ⚙ Ñ ã ð Ñ K ý ' ù

RA	/ý	Ñ ã	Ñ K ý ' ù
1, 8		- HKSI DPE % 1 + % 3 - HKSI LE % 7 + % 8 ð - HKSI PDFM x 1 + 2 + 3 + 6 + 7	- HKSI DPE % 2 - HKSI LE % 1* + % 2 - HKSI PDFM x 4 + 5
		- SIA Diploma of Financial Services (Elective Stream B) - SIA Diploma of Financial Markets ()	
	~ l	- CSI Canadian Securities Course	
		- JSDA Qualification Examination for Class-1 Sales Representatives - JSDA Qualification Examination for Margin Transaction Sales Representatives	
		- SII(UK) Level 3 Certificate in Investments (Securities) - SII(UK) Level 3 Certificate in Investments (Securities & Financial Derivatives) - SII(UK) Level 3 Certificate in Investments (Investment Management) - NASD Series 24 - NASD Series 23	

RA	/ ý	Þ á	Ö K ý ' ~ ù
6		- HKSI DPE % 1 + % 3 - HKSI LE % 7 + % 11 - HKSI PDFM x 1 + 2 + 3 + 6 + 7	- HKSI DPE % 2 - HKSI LE % 1* + % 5 - HKSI PDFM x 4 + 5
	~ í	- CSI ~ Canadian Securities Course	
	ę K	- JSDA ~ Qualification Examination for Class-1 Sales Representatives	
		- SII(UK) Level 3 Certificate in Investments (Securities) - SII(UK) Level 3 Certificate in Investments (Securities & Financial Derivatives) - SII(UK) Level 3 Certificate in Investments (Investment Management) - SII(UK) ~ Certificate in Corporate Finance	
		- NASD Series 24 - NASD Series 23 + Series 9 ■ 10	
9		- HKSI DPE % 1 + % 3 - HKSI LE % 7 + % 12 - HKSI PDFM x 1 + 2 + 3 + 6 + 7 - SIA ~ Diploma of Financial Services (Financial Planning) - SIA ~ Diploma of Financial Services (Elective Stream A ■ B) - SIA ~ Diploma of Financial Markets ((ã)	- HKSI DPE % 2 - HKSI LE % 1* + % 6 - HKSI PDFM x 4 + 5

RA	/ ý	Đ ấ	Q K ý ' ~ ù
4		- HKSI DPE % 1 + % 3 - HKSI LE % 7 + % 8 - HKSI PDFM x 1 + 2 + 3 + 6 + 7	- HKSI DPE % 2 - HKSI PDFM x 4 + 5 - HKSI LE % 1
		- SIA ~ Diploma of Financial Services (Financial Planning) - SIA ~ Diploma of Financial Services (Elective Stream A B) - SIA ~ Diploma of Financial Markets ()	
	~ l	- CSI ~ Canadian Securities Course	
		- JSDA ~ Qualification Examination for Class-1 Sales Representatives - JSDA ~ Qualification Examination for Margin Transaction Sales Representatives	
		- SII(UK) Level 3 Certificate in Investments (Securities) - SII(UK) Level 3 Certificate in Investments (Securities & Financial Derivatives) - SII(UK) Level 3 Certificate in Investments (Investment Management) - SII(UK) ~ Investment Advice Certificate	

- NASD Series 7

RA	/ŷ	Б ă	О К ŷ ' ŷ ŷ
6		- HKSI DPE % 1 + % 3 - HKSI LE % 7 + % 11 - HKSI PDFM x 1 + 2 + 3 + 6 + 7	- HKSI DPE % 2 - HKSI PDFM x 4 + 5 - HKSI LE % 1
	~ i	- CSI ~ Canadian Securities Course	
	ę К	- JSDA ~ Qualification Examination for Class-1 Sales Representatives	
		- SII(UK) Level 3 Certificate in Investments (Securities) - SII(UK) Level 3 Certificate in Investments (Securities & Financial Derivatives) - SII(UK) Level 3 Certificate in Investments (Investment Management) - SII(UK) ~ Certificate in Corporate Finance	
		- NASD Series 7 - NASD Series 24 - NASD Series 23 + Series 9 ■ 10 - φ κ ŷ κ Ž	
9		- HKSI DPE % 1 + % 3 - HKSI LE 7 + 12 - HKSI PDFM 1 + 2 + 3 + 6 + 7	- HKSI DPE % 2 - HKSI PDFM x 4 + 5 - HKSI LE 1
		- SIA ~ Diploma of Financial Services (Financial Planning) - SIA ~ Diploma of Financial Services (Elective Stream A ■ B) - SIA Diploma of Financial Markets ()	
		- JSDA ~ Qualification Examination for Class-1 Sales Representatives (D ŷ v N ŷ ē ~ ā Ž)	
		- SII(UK) Level 3 Certificate in Investments (Investment Management) - SII(UK) ~ Investment Advice Certificate	
		- NASD Series 7 - NASD Series 24 - NASD Series 23 + Series 9 ■ 10 - φ κ ŷ κ Ž	
10		- HKSI LE % 7 + % 10	- HKSI LE % 1

2 1)

CSI	The Canadian Securities Institute ()
DPE	Đ z ù
HKSI	Đ
JSDA	đ K đ đ (đ K)
LE	Đ đ đ ù
NASD	The National Association of Securities Dealers, Inc. ()
PDFM	Đ đ đ đ
SIA	The Securities Institute of Australia ()
SII(UK)	Securities & Investment Institute ()
VTC	Đ

RA	Ω
1	Đ đ đ
2	Đ đ đ
3	Đ đ đ đ
4	Đ đ đ —
5	Đ đ đ —
6	Đ đ đ —
7	đ đ đ đ đ
8	đ đ đ đ
9	đ đ đ đ
10	đ đ đ đ