

MEMORANDUM OF UNDERSTANDING

BETWEEN

AND

SECURITIES AND FUTURES COMMISSION, HONG KONG

Insider investigations and market manipulation investigations is another important role of Finansinspektionen. The Securities Market Department is responsible for supervising the securities market. Apart from trading as such and functions on the

market, this supervisory responsibility also includes inter alia securities exchanges, and clearing organisations, fund management companies, investment firms and the securities business carried out by banks and also branches within the EEA-area.

(b) Securities and Futures Commission, Hong Kong ("SFC")

does not affect any arrangements under other Memoranda to which either Authority may be a party; and

- (c) The Authorities recognize the need for and desirability of providing mutual assistance and the exchange of information to assist each other in securing compliance with their respective laws or regulatory requirements. A request for

- (i) where the request would require the requested Authority to act in a manner that would violate its laws;

- (c) enforcement of the laws and regulatory requirements relating to leveraged foreign exchange trading;
- (d) promoting and securing the fitness and properness of dealers, investment advisers, leveraged foreign exchange traders and other financial market practitioners, promoting high standards of fair dealing and integrity in their conduct of business;
- (e) enforcement of the laws and regulatory requirements relating to insider dealing,

securities, futures contracts, and other investment products;

- (f) ensuring compliance by issuers of and offerors for securities and directors, officers,

Appendix A.

- (b) Requests for information or assistance will specify the following:
- (i) a general description of the information sought by the requested Authority (including the identity of person, etc.);
 - (ii) the purpose for which the information is sought;
 - (iii) a general description of the nature of the case and the conduct or suspected conduct which gives rise to the request;

1. The first part of the document is a header section containing the title "THE HISTORY OF THE UNITED STATES OF AMERICA" and the author "BY JAMES M. SMITH, LL.D." followed by the publisher information "NEW YORK: PUBLISHED BY J. B. LIPPINCOTT & CO., 15 N. 2ND ST. 1884."

2. The second part of the document is a table of contents listing the chapters and their corresponding page numbers. The chapters are: "I. THE DISCOVERY OF AMERICA," "II. THE FIRST SETTLEMENTS," "III. THE STRUGGLE FOR INDEPENDENCE," "IV. THE CONSTITUTION," "V. THE WESTERN EXPLORATIONS," "VI. THE GROWTH OF THE UNION," "VII. THE CIVIL WAR," "VIII. THE RECONSTRUCTION," "IX. THE PRESENT POSITION," and "X. THE FUTURE."

3. The third part of the document is the main body of text, which is a detailed history of the United States. It begins with the discovery of America by Christopher Columbus in 1492 and continues through the early years of settlement, the struggle for independence, the formation of the Constitution, the westward expansion, the Civil War, and the Reconstruction period. The text is written in a clear, concise, and engaging style, suitable for a general audience.

4. The fourth part of the document is a list of footnotes and references, providing additional information and sources for the reader.

5. The fifth part of the document is an index, listing the names of people, places, and events mentioned in the text, along with their corresponding page numbers.

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- (a) Assistance or information will be provided by an Authority only for the purposes of assisting the other Authority to this Memorandum in the performance of its regulatory functions. Any assistance or information provided under this

regulatory functions and should not be disclosed to any third parties, without the prior consent of the requested Authority. Each Authority will establish and maintain such safeguards as are necessary and appropriate to protect the confidentiality of such information.

- (b) When the requesting Authority discloses information to another person, the requesting Authority will obtain an undertaking from the other person that it will maintain the confidentiality of the information, except when disclosure is required pursuant to a legally enforceable demand.

- (d) The Authorities may agree on such practical measures as may be necessary to facilitate the implementation of this Memorandum.

10. CONTACT PERSONS

contacts as set out in Appendix A unless otherwise agreed. Appendix A may be amended by the relevant Authority upon written notice to the other Authority.

11. TERMINATION