

List of prescribed persons that have reached the clearing threshold under the
Securities and Futures (OTC Derivative Transactions – Clearing and Record Keeping
Obligations and Designation of Central Counterparties) Rules
(Clearing Rules)

Based on information currently available to the Hong Kong Monetary Authority (**HKMA**) and/or the Securities and Futures Commission (**SFC**), the following prescribed persons have reached the clearing threshold set out under Schedule 2 to the Clearing Rules -

(In alphabetical order)

- 1 Australia and New Zealand Banking Group Limited
- 5 Bank of Communications Co., Ltd.
- 6 Bank of East Asia, Limited (The)
- 7 BNP Paribas
- 8 China CITIC Bank International Limited
- 9 Chong Hing Bank Limited
- 10 Citibank, N.A.
- 11 Credit Agricole Corporate and Investment Bank
- 12 Credit Suisse (Hong Kong) Limited
- 13 DBS Bank (Hong Kong) Limited
- 14 DBS Bank Ltd.
- 15 Deutsche Bank Aktiengesellschaft
- 16 Hang Seng Bank, Limited
- 17 Hongkong and Shanghai Banking Corporation Limited (The)
- 18 ICICI Bank Limited (Note)
- 19 Industrial and Commercial Bank of China (Asia) Limited
- 20 JPMorgan Chase Bank, National Association
- 21

26 United Overseas Bank Ltd.

Note: Newly added to the list. Mandatory clearing will apply to specified OTC derivative transactions entered into with this entity from 1 January