

List of prescribed persons that have reached the clearing threshold under the Securities and Futures (OTC Derivative Transactions ±Clearing and Record Keeping Obligations and Designation of Central Counterparties) Rules (Clearing Rules)

Based on information currently available to the Hong Kong Monetary Authority (HKMA) and/or the Securities and Futures Commission (SFC), the following prescribed persons have reached the clearing threshold set out under item 1 of Schedule 2 to the Clearing Rules -

(In alphabetical order)

- 1 Australia and New Zealand Banking Group Limited
- 2 Bank of China (Hong Kong) Limited
- 3 Bank of Communications Co., Ltd.

the SFC shall be held responsible for any consequential effect, nor be held liable for any loss or damage, howsoever caused, arising from the use of or reliance upon any information provided above. Please also refer to the Important Legal Information section of our website.

2. It is the responsibility of each prescribed person to ensure its compliance with the Clearing Rules, including ascertaining whether each of its counterparties has reached the clearing threshold where applicable.