[redacted]

NOTICE OF AUTHORIZATION TO PROVIDE AUTOMATED TRADING SERVICES

Pursuant to section 95(2) of the Securities and Futures Ordinance (**SFO**), the Securities and [redacted]

Exchange Co., Ltd. (**INE**) to provide automated trading services (**ATS**) in Hong Kong via the New Generation of Exchange Systems (**NGES**) subject to the following conditions–

1 INE may only provide ATS in Hong Kong to those of its participants that are corporations licensed by the Commission under Part V of the SFO (unless the [redacted]

[redacted]

3.5. markets and products that may be traded via the NGES by INE's Hong Kong participants.

4. INE must put in place appropriate business continuity plans and disaster recovery programs for INE's activities in Hong Kong subject to this ATS authorization and notify the Commission of any material change to the plans or programs.

5. INE must provide the Commission with the following **monthly** statistics

5.1. the volume of trades conducted during that month through the NGES, by each of INE's Hong Kong participants, with a breakdown in respect of each product or

[redacted]