

Conditions for Authorisation for Providing Automated Trading Services (ATS)

4. Notify the Commission of the identity and location of users of the LME Select System in Hong Kong (by providing a **monthly** status report);
5. Put in place appropriate business continuity plans and disaster recovery programmes for the plans/programmes; and
6. Ensure that the LME Select System is only provided to/used by corporations licensed by the Commission under Part V or authorised under Part III of the SFO unless the Commission agrees otherwise, and proactively notify the Commission of the registration status of prospective users in Hong Kong.

The Commission may, by notice in writing, amend or revoke the above conditions or impose new conditions where it is satisfied that it is appropriate to do so, pursuant to section 97 of the SFO.