

Suspicious Transaction Reporting: Overview & Statistics

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JFIU



Joint Financial
Intelligence Unit



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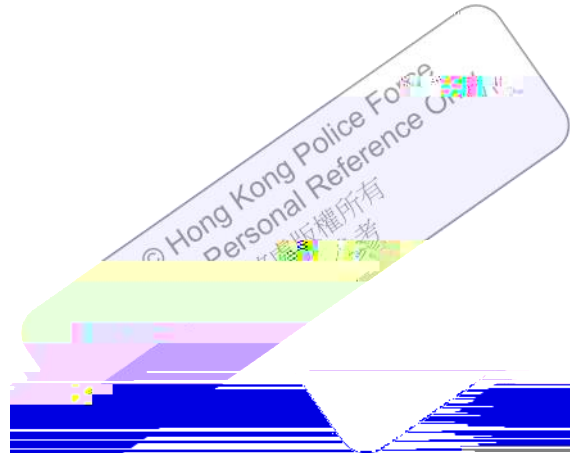
STR

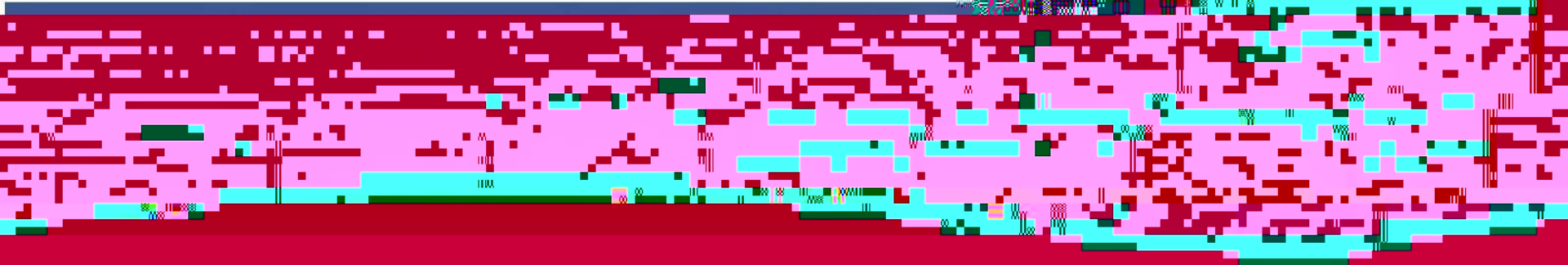
1. About JFIU
2. Key Legislation
3. Reporting Requirement
4. STR Mechanism
5. STR Reporting
6. STR Statistics
7. Case Studies

Bureaux / Departments

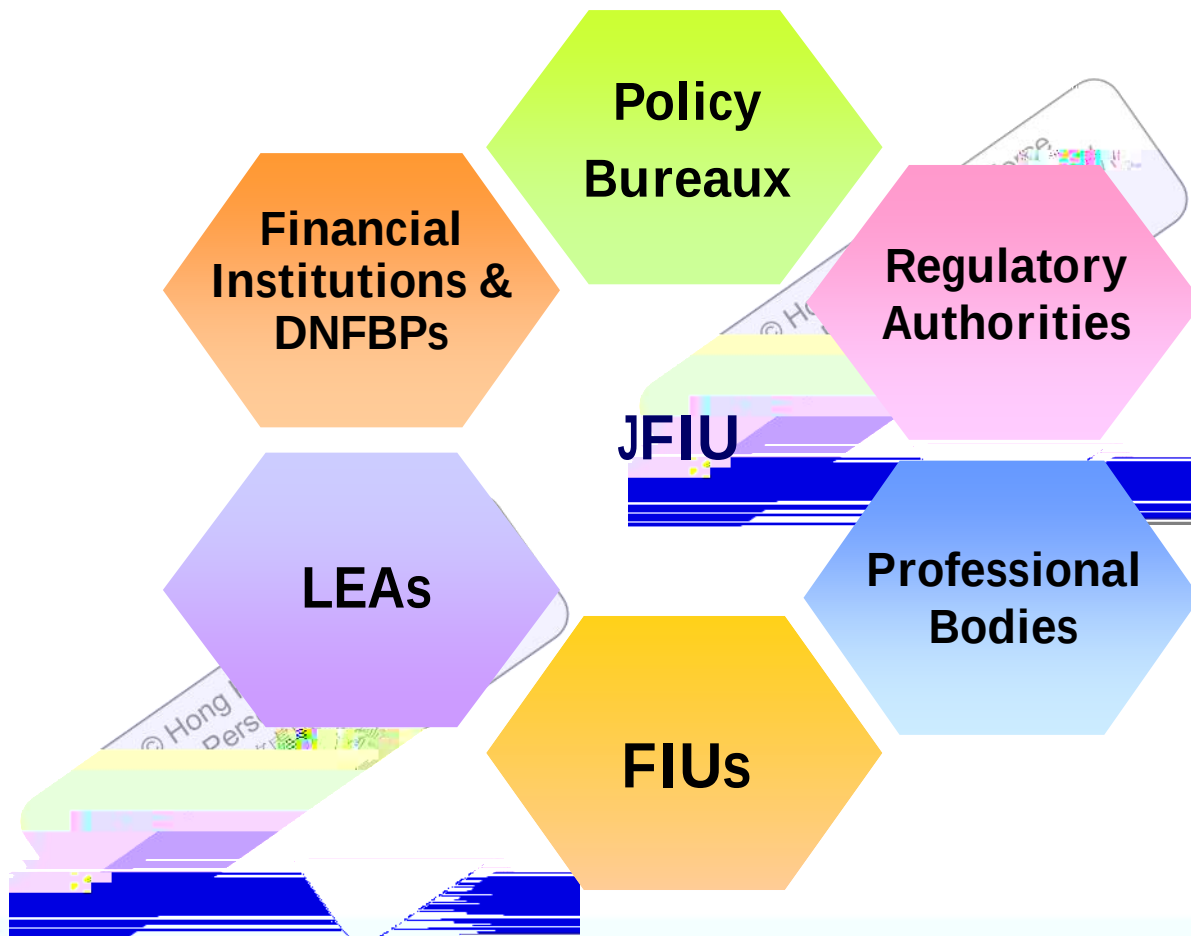
Regulators

Law Enforcement Agencies





ABOUT FIU

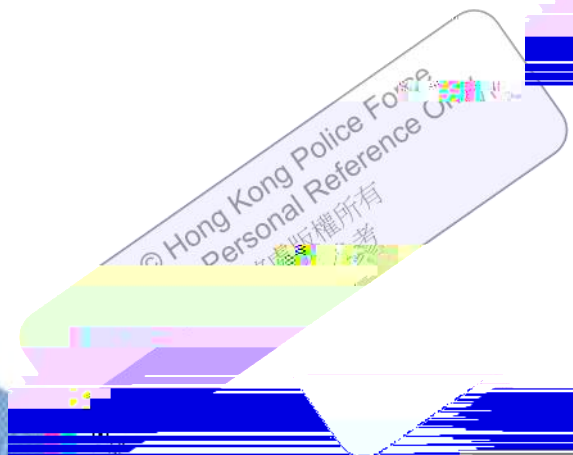
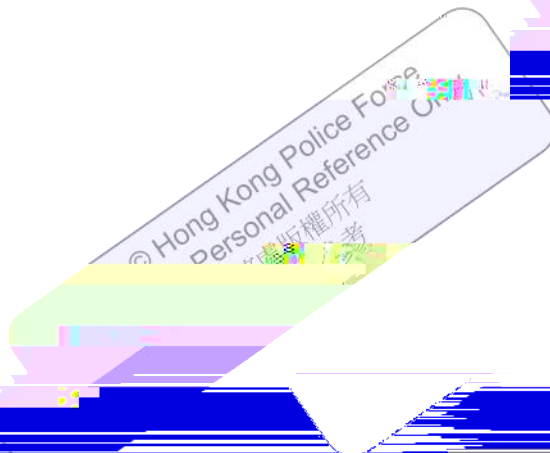
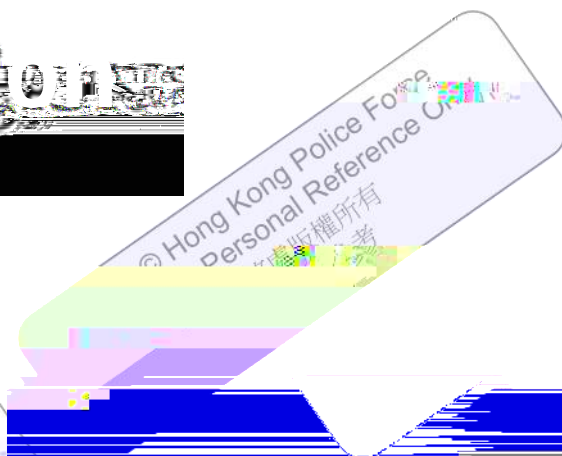


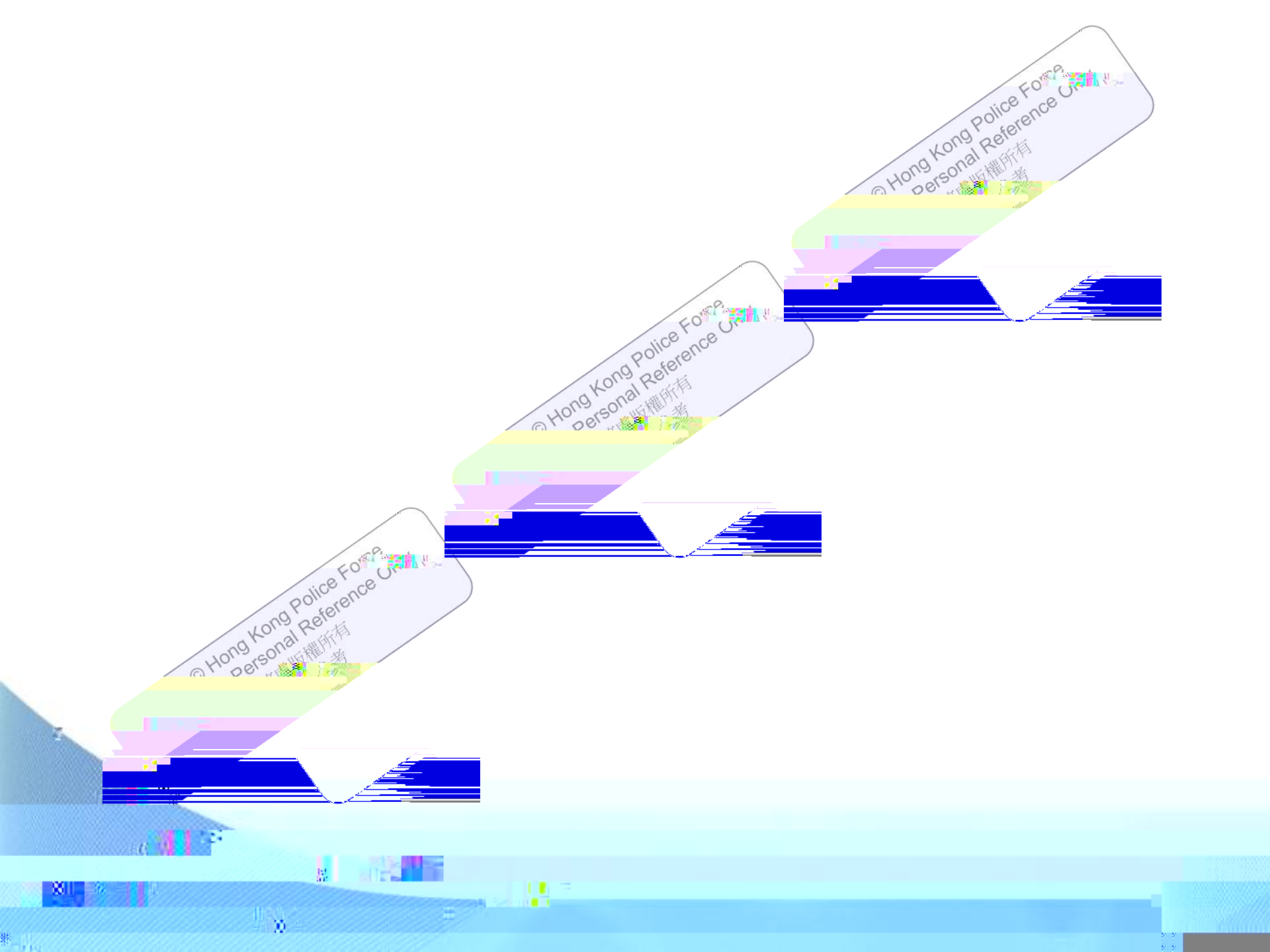


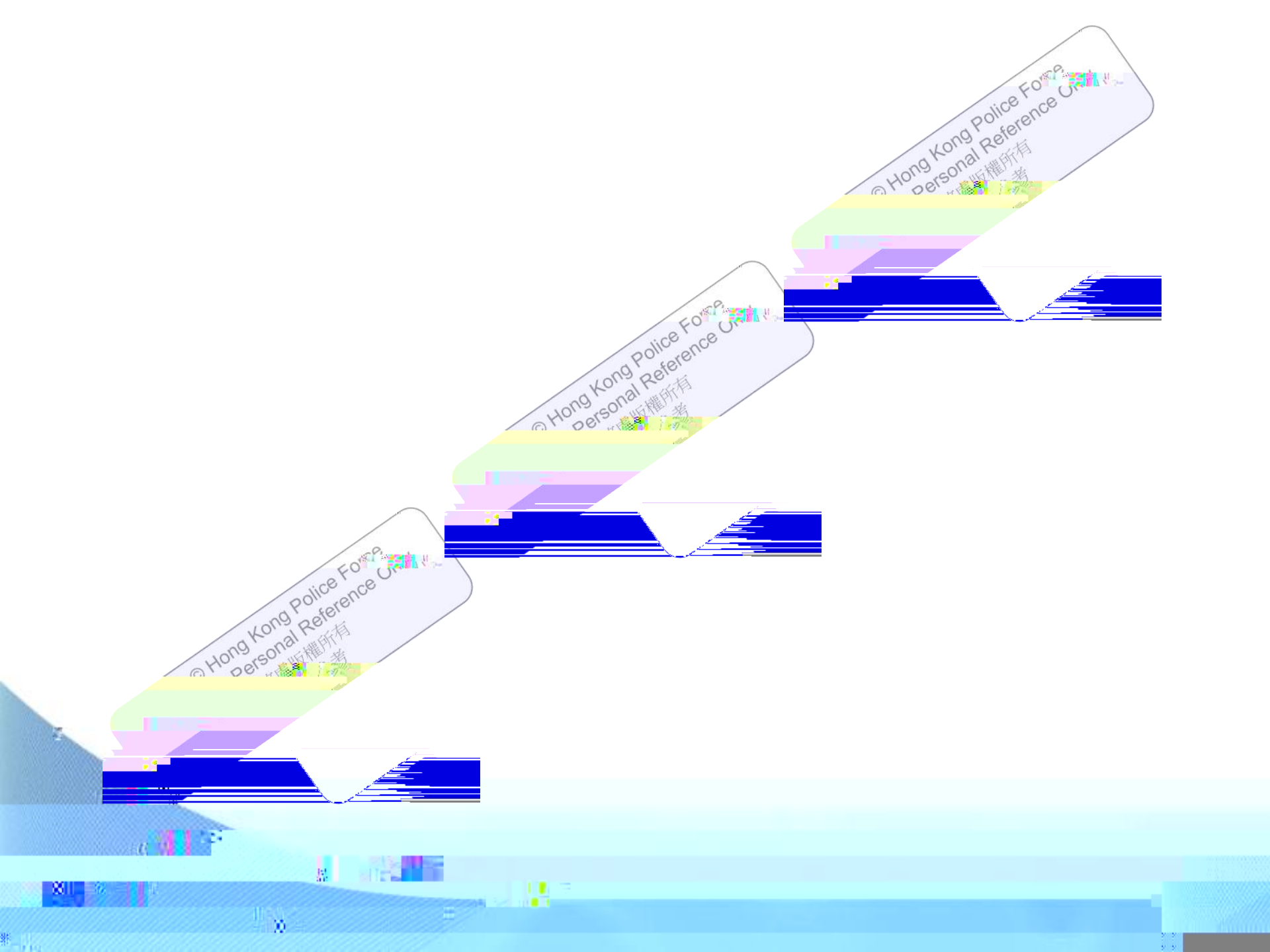
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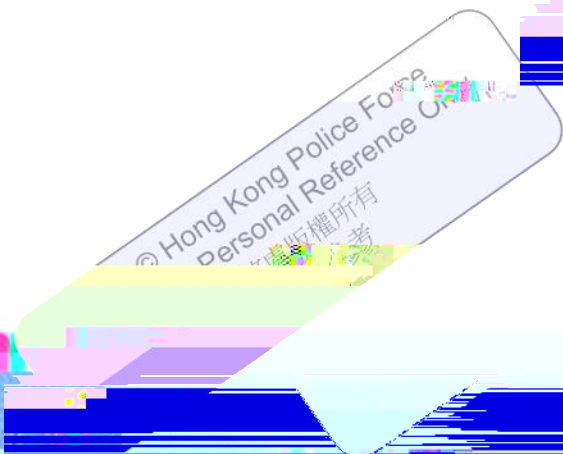
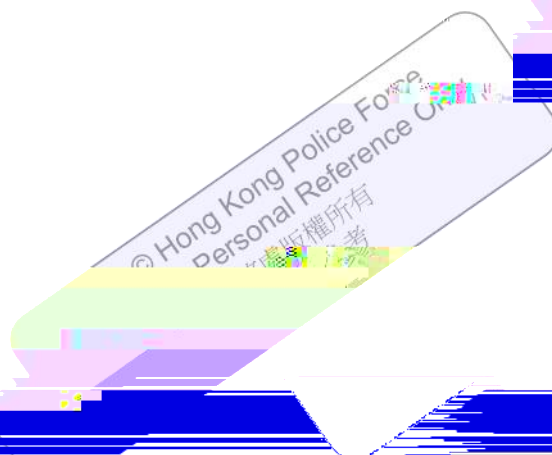
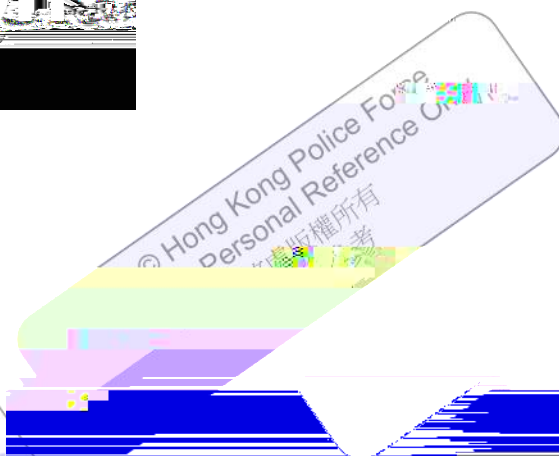
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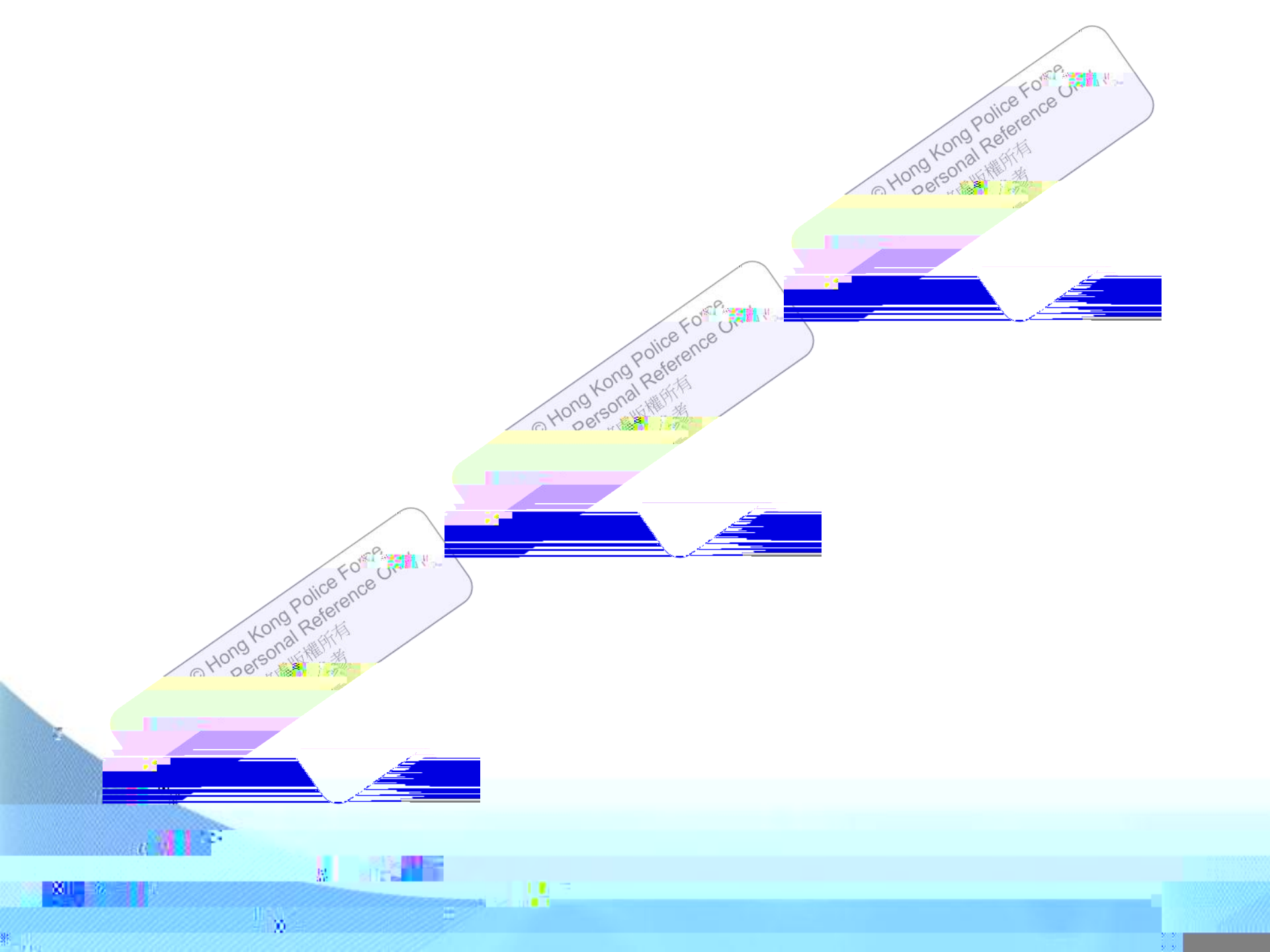
1989













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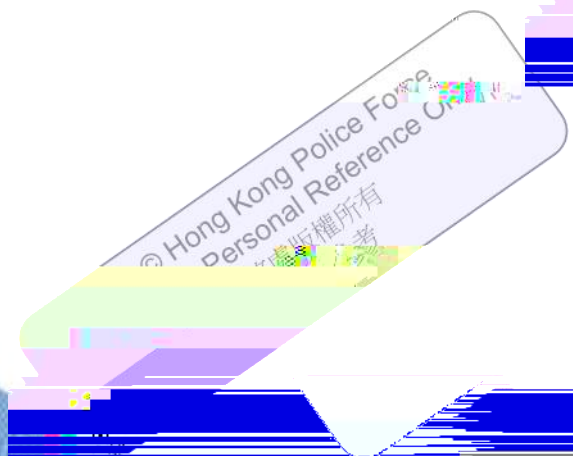
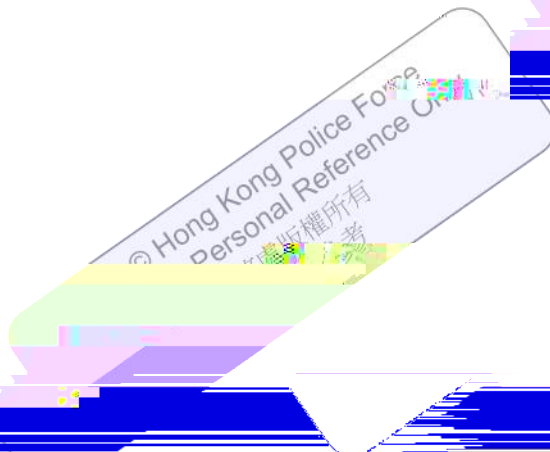
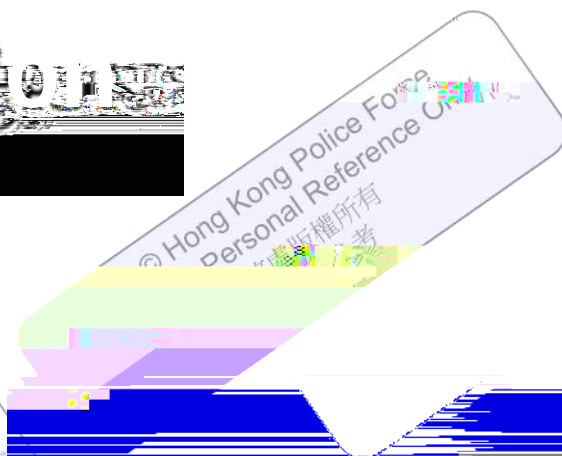
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1989

1994

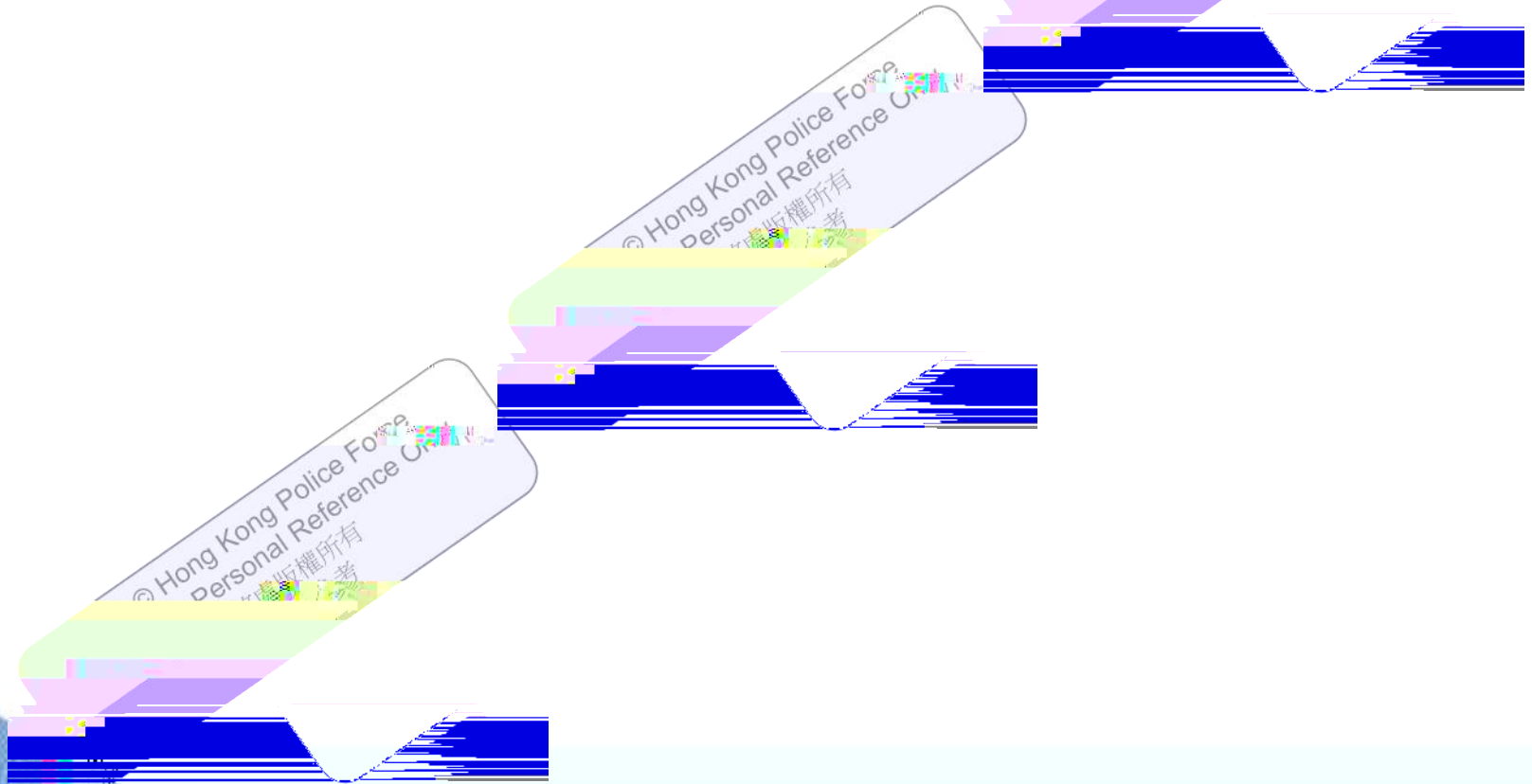
2002

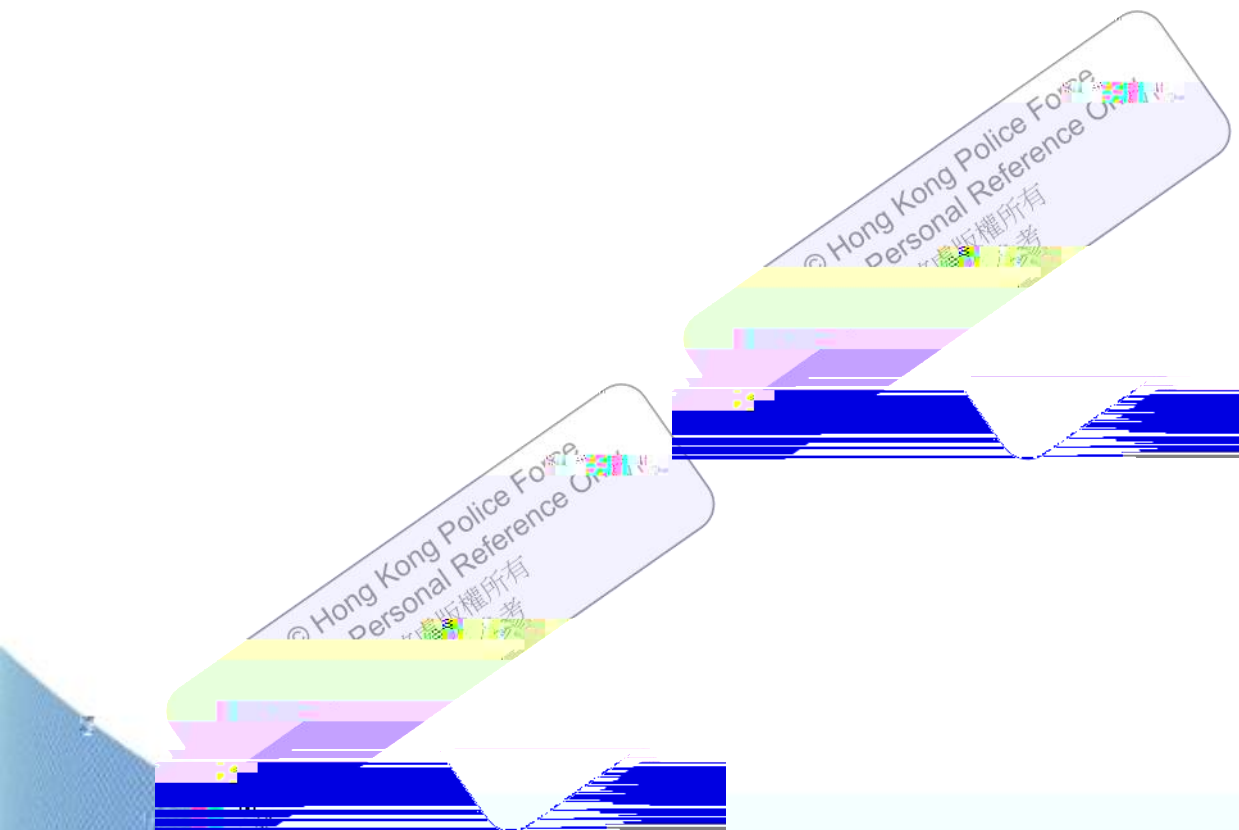
2012, 2018





Any person





**Reporting
Entities**

**Financial Institutions
& DNFBPs**

CDD + KYC

Record Keeping

Internal Controls

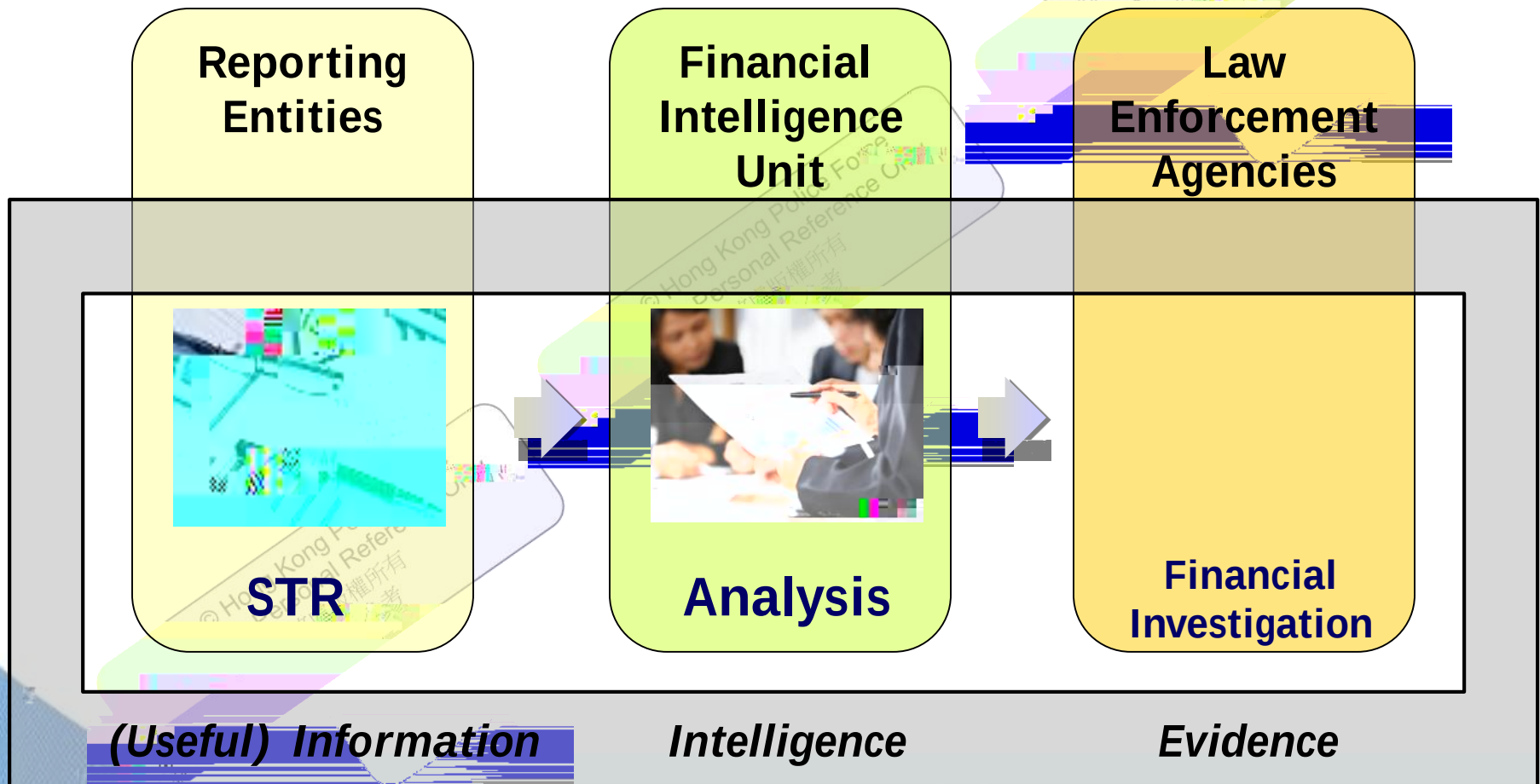
STR Filing

**Financial
Intelligence Unit**

STR Regime
Intelligence Exchange
International Cooperation
Training & Outreach

**Law
Enforcement
Agencies**

STR Mechanism



Screen

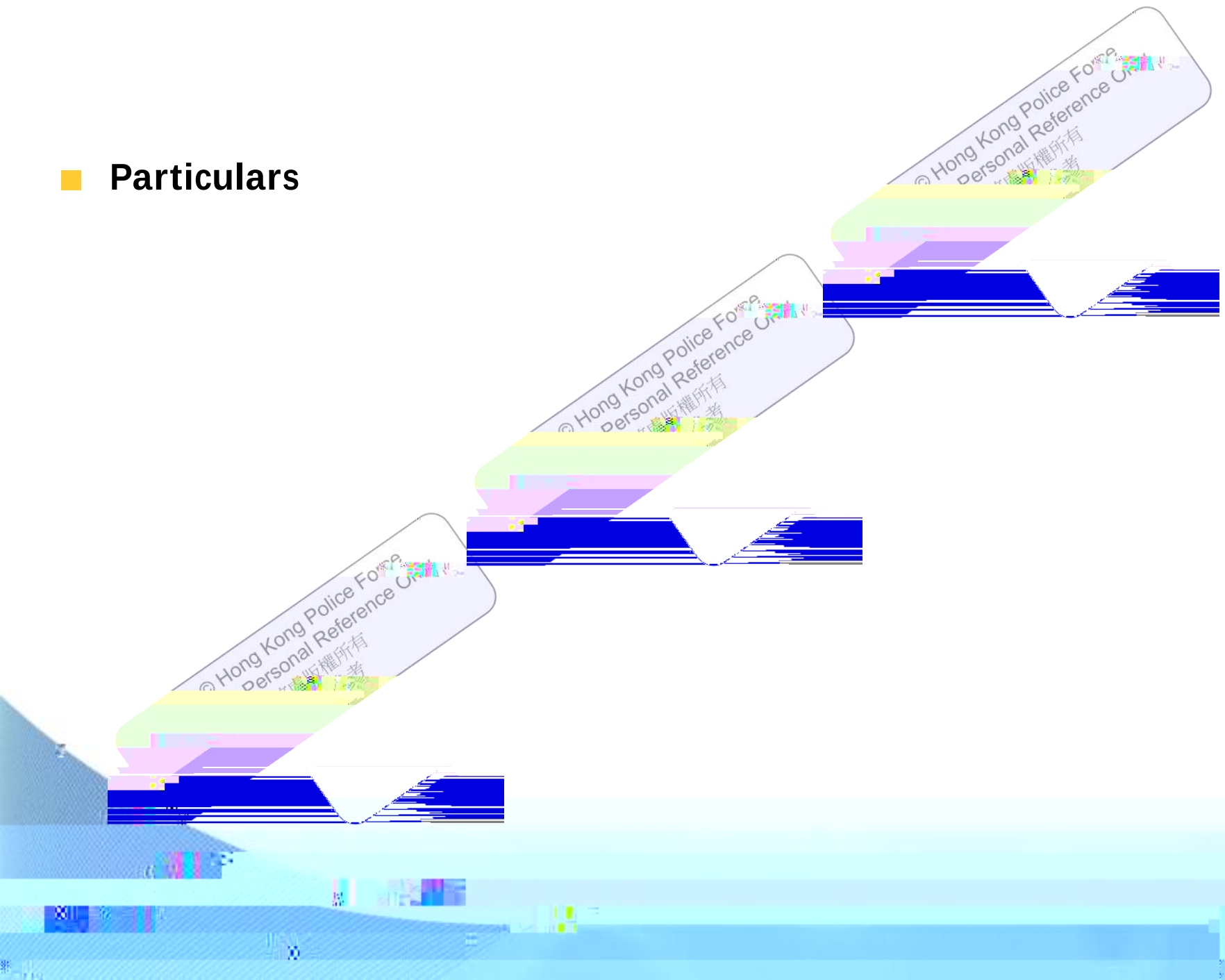
Ask

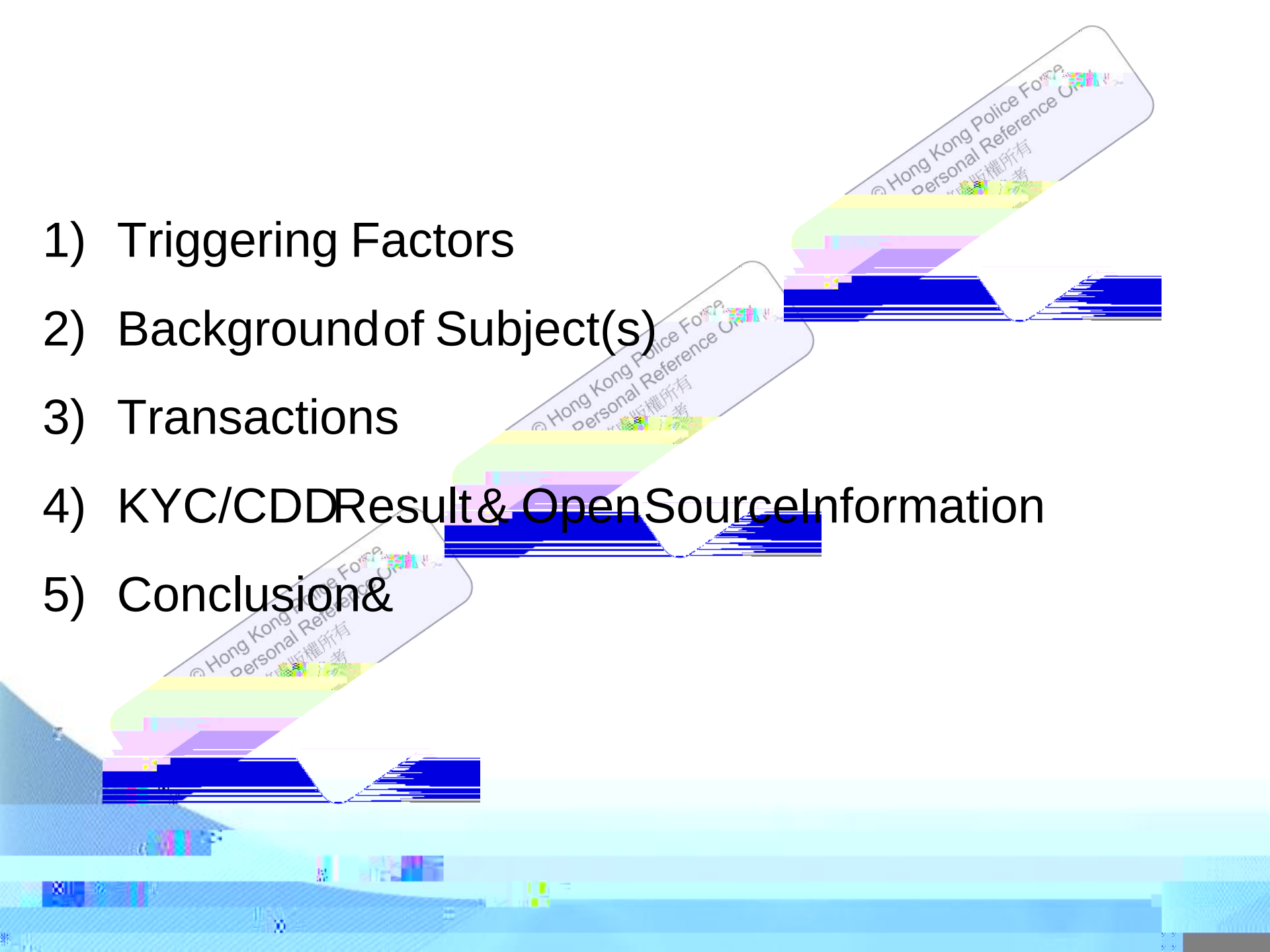
Find

Evaluate

subject entities' background & transactions

■ Particulars



- 
- 1) Triggering Factors
 - 2) Background of Subject(s)
 - 3) Transactions
 - 4) KYC/CDD Result & Open Source Information
 - 5) Conclusion &

Surveillance

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STR Reporting

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(STR Summary) (Reporting Body) (Subject) (Organization) (Phone) (Address) (Account) (Transaction)
(Supported Crimes) (Suspicious Indicators) (Open Source Info) (Entity Lists)

Account

Sequence No:

Account Institution:

Account Type: if Others:

Account Number:

Closing Date:

Opening Date:

Related Person:

Role:

Reference:

Role:

Relationship:

Related Company:

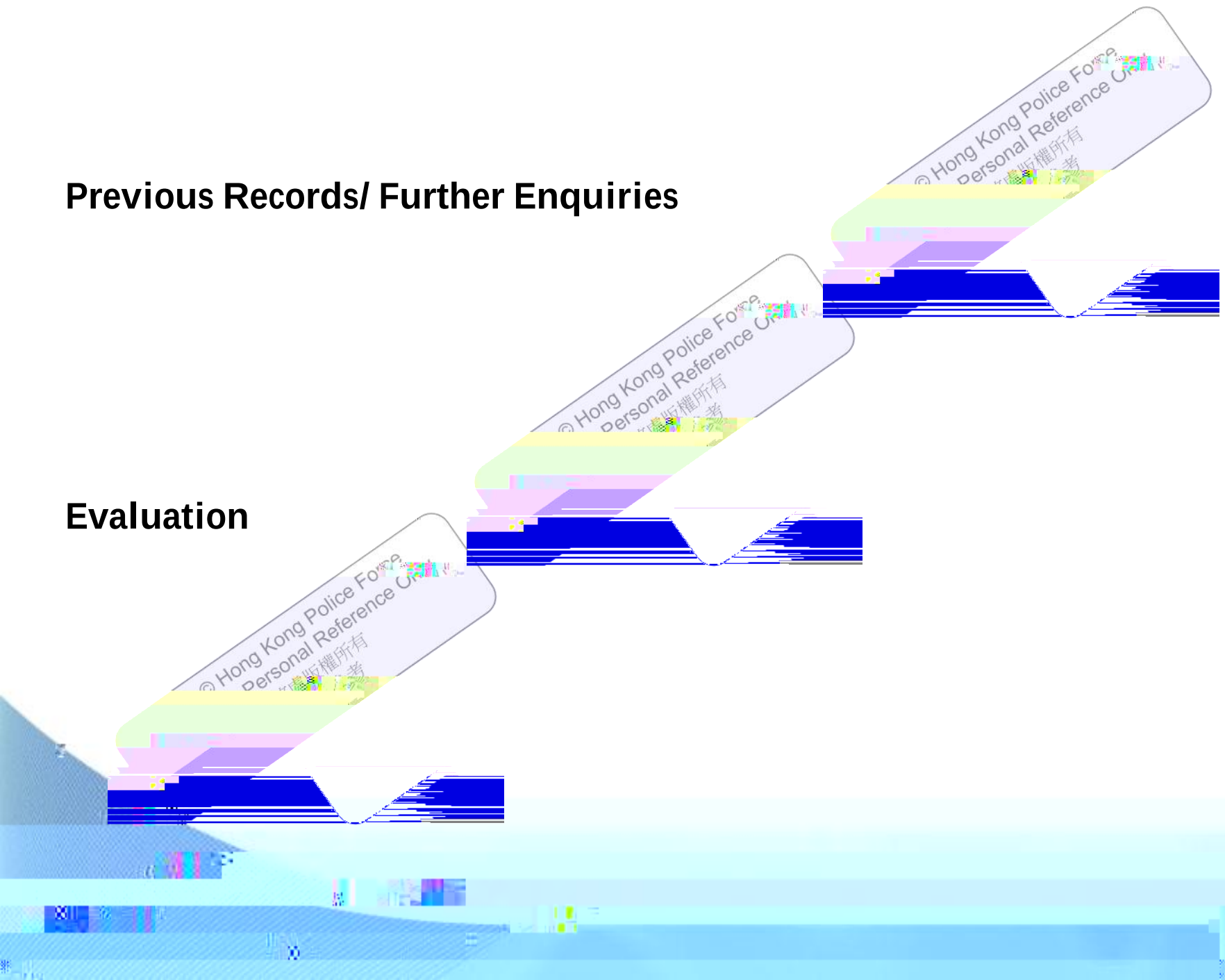
Additional

Information:

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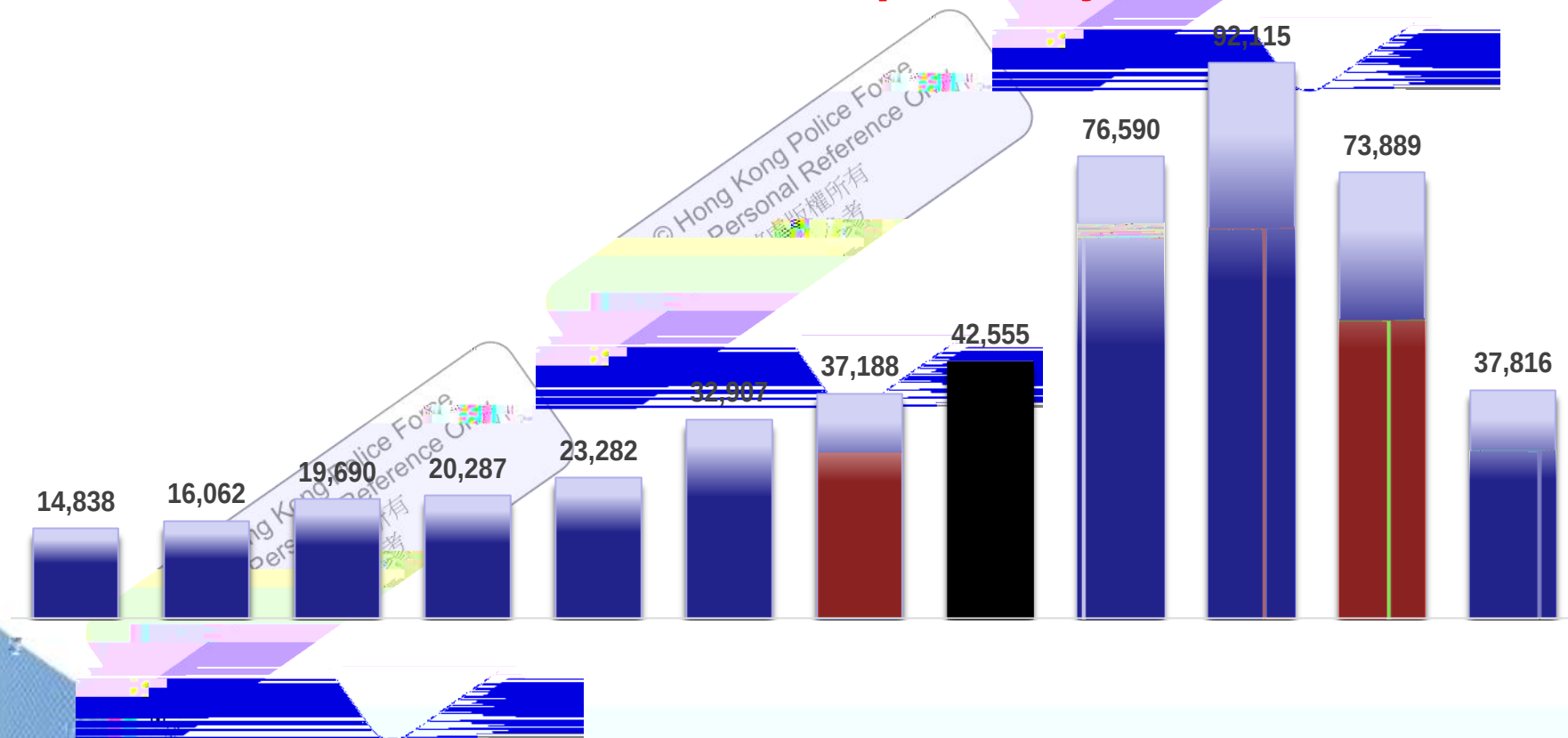
Previous Records/ Further Enquiries

Evaluation

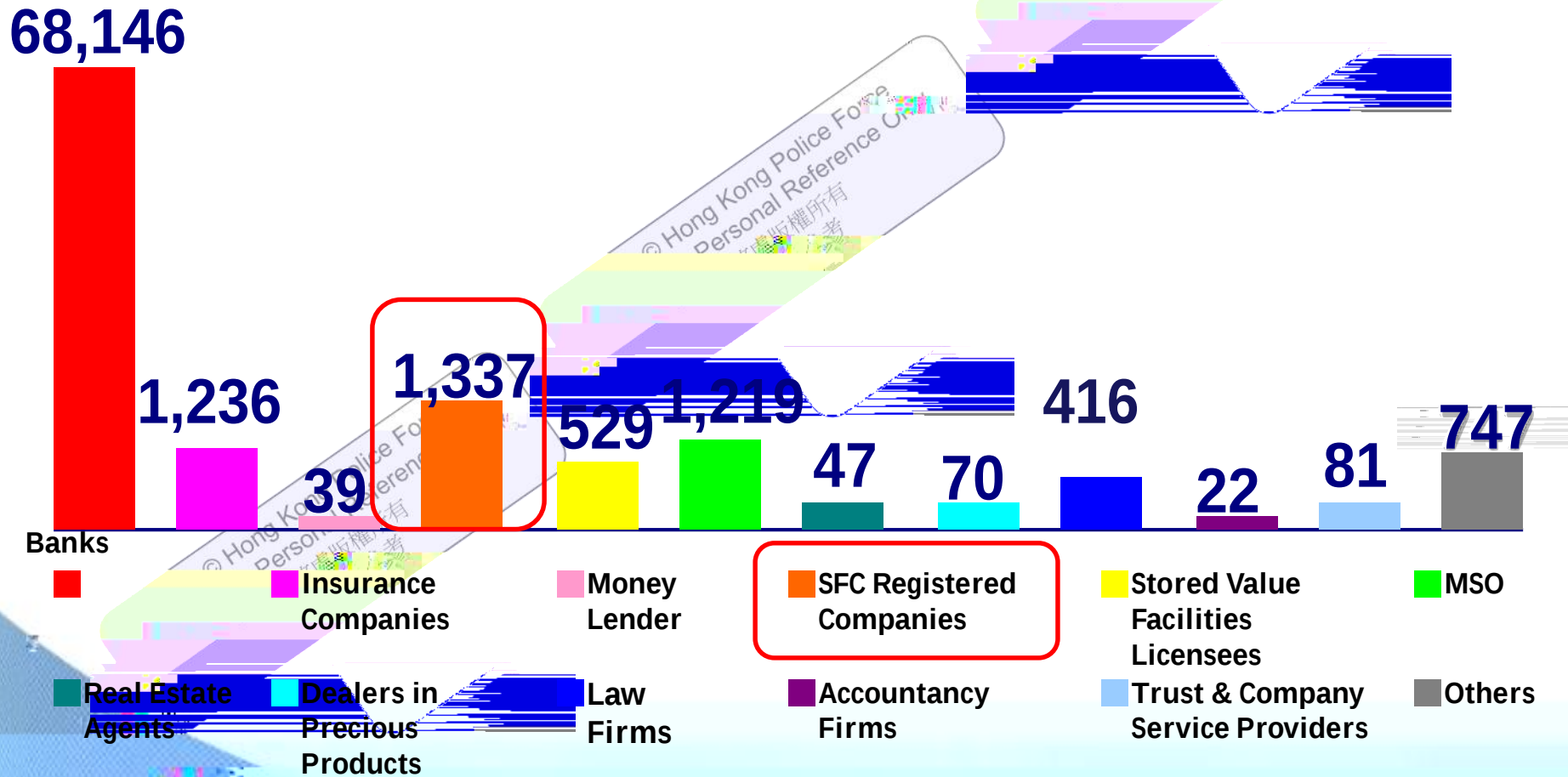




Number of STRs received in the past 10 years



Sectoral Breakdown of STRs received by JFIU in 2018



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Company Y's Consolidation Plan



Mr. X

The purchase of Company Y's
stocks exceeded 50% of the
daily turnover



Made large profits by selling
the stocks of Company Y two
weeks later



Country Z
Stock Exchange

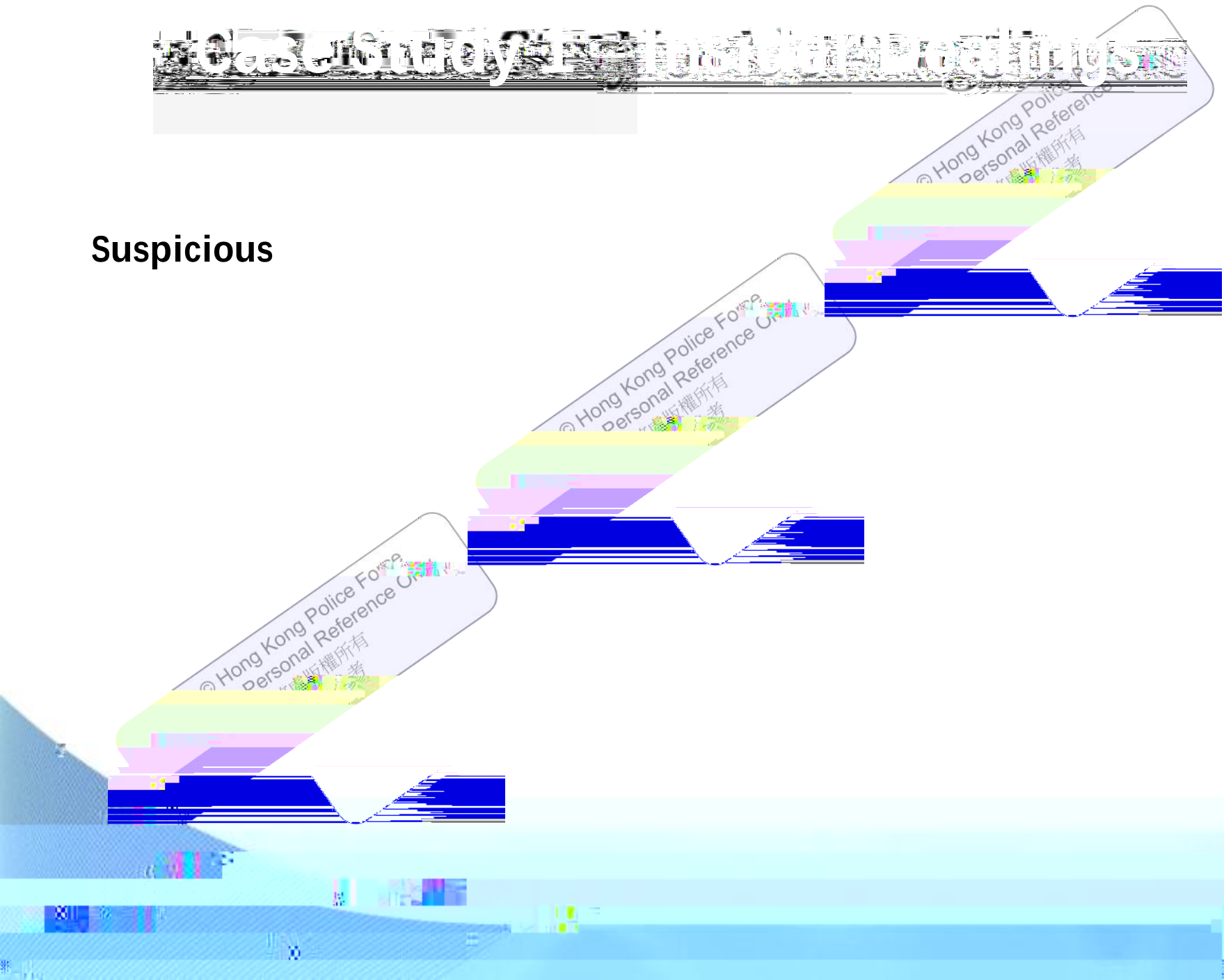
Consolidation plan was
announced on the same day
after the market closed. The
stock price increases 70%
within two weeks



Company Y

063 Identity and Belonging

Suspicious



Financial Planning

