



Keynote address

Mr Paul Chan, GBM, GBS, MH, JP



Panel 1: Global and regional developments challenges and opportunities for

Mr Ugo Bassi

Director, Financial Markets, Directorate-General for Financial Stability, Financial Services and Capital Markets Union, European Commission

Mr Ugo Bassi is Director of Financial Markets at the European Commission's Directorate-General for Financial Stability, Financial Services and Capital Markets Union



**Panel 1: Global and regional developments challenges and opportunities for
capital markets**

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Mr Kevin Sneader

President, Asia Pacific Ex-Japan, Goldman Sachs

Mr Kevin Sneader is President of Asia Pacific Ex-Japan (APEJ) of Goldman Sachs. He is a member of the Management Committee, Asia Pacific Management Committee, Firmwide Conduct Committee, Asia Pacific Conduct Committee, Firmwide Reputational Risk Committee and Firmwide Client Franchise Committee. He is also co-chair of the Asia Pacific Inclusion and Diversity Committee. He joined Goldman Sachs in 2021 as a partner.

Prior to joining Goldman Sachs, Mr Sneader served as the 12th global managing partner of McKinsey & Company, based in Hong Kong. He started his career as a business analyst at McKinsey in 1989 in London. He later worked in the Hong Kong office before moving to the United States in 1999 where he became managing partner of the New Jersey, Philadelphia and Washington, DC locations. He went on to become managing partner of the United Kingdom and Ireland practice from 2010 to 2014 and then, the chairman of McKinsey's Asia offices from 2014 to 2018.

Mr Sneader is a trustee of the Brookings Institution, a former member of the International Advisory Council of the Economic Development Board of Singapore, a global strategic advisor to FCLTGlobal and a former president of BritishAmerican Business.

Mr Sneader earned an LLB with first class honors from Glasgow University and an MBA from Harvard Business School.



Panel 2: Landscape for investment funds in Asia

Moderator

Ms Ding Chen, MH

*Chief Executive Officer, CSOP Asset Management Limited,
and Vice Chairman, Financial Services Development*

Panel 2: Landscape for investment funds in Asia



Ms Verena Ross

Chair, European Securities and Markets Authority

Ms Verena Ross is Chair of the European Securities and Markets Authority (ESMA). Taking up the role on 1 November 2021, Ms Ross is responsible for representing ESMA as well as preparing the work of and chairing its Board of Supervisors and Management Board.

Between 2011 and 2021, Ms Ross served as ESMA's first Executive Director, when she was responsible for building up the organisation and overseeing its day-to-day running.

Prior to this, Ms Ross held a number of senior posts in the UK's Financial Services Authority (FSA) and was a member of its Executive Committee. She joined the FSA in 1998 to run the Executive Chairman's office during the regulator's start-up phase and was a seconded advisor to the SFC in 2000. She held various positions in the FSA's Markets Division and was Director of Strategy & Risk Division before becoming Director of the International Division.

Ms Ross is a German national. Following studies in Sinology and Economics in Hamburg, Taipei and London (SOAS), she began her career at the Bank of England in 1994, where she worked as an economist and banking supervisor.





global financial crisis. He also represented the FSA at the Basel Committee on Banking Supervision from 2006 to 2007.

From 2002 to 2006, Mr Miyoshi was senior advisor to the Executive Director for Japan at the IMF.

A Japanese national, Mr Miyoshi got Bachelor's degree in public law at the University of Tokyo and Master of Philosophy in Politics at the University of Oxford.



Panel 2: Landscape for investment funds in Asia

Mr Brian Dillard

Partner, Head of Asia Credit, KKR

Mr Brian Dillard joined KKR in 2006 and is a Partner and Head of Asia Credit. Prior to moving to Asia in 2018, Mr Dillard was a portfolio manager for KKR's Special Situations funds and portfolios in New York. Before joining the Special Situations team, Mr Dillard was a member of KKR's Private Equity Team and has been involved with several investments, including KKR's investment in Oriental Brewery. In 2008 and 2009, he worked in Hong Kong assisting in the development of KKR's Asian operations.

Prior to joining KKR, Mr Dillard was at Morgan Stanley & Co. in its mergers and acquisitions investment banking group, where he was involved in a number of mergers, acquisitions and financing transactions.

Mr Dillard graduated from Harvard College and received an M.B.A. from Harvard Business School.

Ms Elisa Ng

Chairman, Hong Kong Investment Funds Association

Ms Elisa Ng is Managing Director, CEO of AM Hong Kong, as well as Head of Hong Kong Funds and Institutional Business for J.P. Morgan Asset Management. In her role, Ms





requested and obtained from the Belgian legislator the power for the FSMA to contribute to the financial education of the population. Under his impetus, the FSMA launched in 2013 the now very successful Wikifin financial education programme. And in 2020, the Wikifin Lab opened in Brussels. This unique centre for financial education has since welcomed thousands of Belgian students and 45.7 Tm0



Panel 3: Role of regulation in advancing sustainable finance

Dr Awang Adek Hussin

Chairman, Securities Commission, Malaysia

Dr Awang Adek Hussin was appointed Executive Chairman of the Securities Commission Malaysia (SC) on 1 June 2022.

Dr Awang Adek currently chairs the Capital Market Development Fund and is also the Chairman of the Board of



Panel 3: Role of regulation in advancing sustainable finance

Mr Joseph Longo

*Chair, Australian Securities and Investments Commission,
Australia*

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Panel 4: Intersection of Fintech and AI in wealth management

Moderator

Ms Bénédicte Nolens

Head of the BIS Innovation Hub Hong Kong Centre, Bank for International Settlements

Ms

Panel 4: Intersection of Fintech and AI in wealth management



Dr Pornanong Budsaratragoon

Secretary-General, Securities and Exchange Commission, Thailand

Dr Pornanong Budsaratragoon is a distinguished professional with a robust academic background and extensive academic and regulatory experience. She holds a BBA in quantitative analysis from Chulalongkorn University, Thailand, an MBA in management of information systems from the University of Dallas, the United States, and a DBA in finance from Chulalongkorn University, Thailand.

Dr Pornanong's tenure at the Securities and Exchange Commission, Thailand showcases her leadership, serving as the board member of the capital market supervisory board, chair of the sub-committee on consideration of regulations on issuance and offering of debt securities, derivatives, and structured products, chair of the sub-committee on consideration of regulations on supervision of business and market intermediaries, and member of the sub-committee on consideration of regulations on asset management.

Prior to her current role, Dr Pornanong held the position of a full-time professor at the Chulalongkorn University. In addition to heading the banking and finance department, she chaired the committee of the Master of Science program in corporate governance, faculty of commerce and accountancy. Her academic prowess is evident through the publication of numerous textbooks and research studies.

Dr Pornanong's influence also extends to key institutions such as the Agricultural Futures Exchange of Thailand, the Stock Exchange of Thailand, the Institute Council Thai-Nichi Institute of Technology, the Office of the Higher Education Commission, and the National Savings Fund. Her multifaceted contributions underscore her integral role in shaping Thailand's academic and economic landscapes.



Panel 4: Intersection of Fintech and AI in wealth management

Mr Lim Tuang Lee

*Assistant Managing Director, Head (Capital Markets Group)
Monetary Authority of Singapore*

Mr Lim Tuang Lee is Assistant Managing Director of the Capital Markets Group of the Monetary Authority of Singapore (MAS), overseeing the regulation and supervision of capital markets. The group is responsible for the licensing and supervision of exchanges, clearing houses and capital markets intermediaries including financial advisers.

Prior to this appointment, Mr Lim was the Assistant Managing Director for the Organisation and People Development Group. Mr Lim has also served in various functions, including banking supervision, prudential policy



Panel 4: Intersection of Fintech and AI in wealth management

Mrs Amy Lo

Chairman, Executive Committee, Private Wealth Management Association

Mrs Amy Lo is Chairman, Global Wealth Management Asia, Co-Head of Wealth Management Asia Pacific, Head and Chief Executive of UBS Hong Kong, and also Managing Director at UBS.

Actively involved in the development of the private banking industry in Hong Kong, Mrs Lo chairs the Executive Committee of the Hong Kong Private Wealth Management Association which has a



Panel 4: Intersection of Fintech and AI in wealth management

Ms Catherine Zhou

*Global Chief Information Officer, Wealth and Personal
Banking, HSBC*

Ms Catherine Zhou is the Global Chief Information Officer



Closing remarks



Ms Julia Leung, SBS, JP

*Chief Executive Officer, Securities and Futures Commission,
Hong Kong*

See Page 3 for Ms Leung's biography.
