

**Privacy Notice In Respect Of The IOSCO Administrative Arrangement For
The Transfer Of Personal Data Between EEA And Non-EEA Securities
Regulators**

How and why does the SFC process your personal data?

The Securities and Futures Commission ("SFC") may collect and process personal data in the performance of its statutory functions and activities under the Securities and Futures Ordinance ("SFO"), including by way of international transfers under the IOSCO Multilateral Memorandum of Understanding Concerning Consultation and Cooperation and the Exchange of Information ("MMoU"), the Enhanced MMoU and any bilateral arrangement between the SFC and other authorities.

With regard to personal data received through international transfers from the European Economic Area ("EEA") securities regulators, the SFC is a signatory to the administrative arrangement for the transfer of personal data between EEA and non-EEA securities regulators ("Administrative Arrangement") (and is referred to in the

seriously improper conduct by persons concerned in the provision of financial services, the management of companies or who are shareholders of companies;

(b) as required or authorised by law, any rule of law or an order of a court in Hong Kong; and

(c) in connection with any legal proceedings in Hong Kong.

Questions or complaints

If you believe that your personal data has not been handled consistent with the safeguards under the Administrative Arrangement, where applicable, you can lodge a complaint or claim at the transferring Authority, the receiving Authority or both