

DISCIPLINARY CHAIR COMMITTEE

Terms of Reference

1 Establishment

- 1.1 The Securities and Futures Commission the Disciplinary Chair Committee DCC and appointed members of the DCC under section 8(1) of the Securities and Futures Ordinance (Cap. 571).

2 Membership and purpose of the DCC

- 2.1 The DCC comprises up to eight duly experienced and legally qualified persons. A member of the DCC will be selected, on a case-by-case basis to act as:

- a) Chairman of the Panel in disciplinary proceedings; and
- b) Chairman of the Takeovers Appeal Committee.

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